FORM PTO-1449 (REV. 7-80) LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: 874.1005	SERIAL NO.: 10/559,554
		APPLICANT(S): Andrew T. HECHT	
		FILING DATE: 12/02/2005	GROUP: 3628

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AK	20	04	04	35	0	1	1	07/15/04	Amaitis, et al.	705	80	
	AB	20	02	04	03	[illegible]	1	1	08/01/02	Llewelyn	705	37	
	A[illegible]	20	04	04	35	1	4	1	07/17/03	Ginsberg	705	37	
	AK	[illegible]	1	[illegible]	1	[illegible]	1	2	11/20/2001	Lange	705	37	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AE	Otaka, et al. "Hedging and Pricing of Real Estate Securities under Market Incompleteness", (2002) pgs. 1-12
	A[illegible]	Lizieri, et al. "International Real Estate Investment under Exchange Rate Uncertainty", pgs. 1-4. (1998).
	AG	Broderick Perkins, A Future For Housing Futures (2003).
	AH	Gllagher Polyn, "Building a Hedge for Housing", Aeft December 2002, Vol. 15, No. 12.
	A[illegible]	"Entrepreneur Sees a Futures Market for Homeowners", Los Angeles Times (2003).
	AK	"Methodology Overview: Commercial Real-Estate Index" RealEstateJournal (2003).
	AK	Geltner, et al., Benchmarks & Index Needs in the U.S. Private Real Estate Investment Industry: (2000).
	A[illegible]	Peter Chinloy, et al. "Real Estate Market Institutions in the United Kingdom: Implications for the United States", Housing Policy Debate, Vol. 5, Issue 3, 1994.
	AM	Kharouf, "New Products Go Out On A Hedge", Active Trader – Futures and Options Watch, 9/14/03.
	AK	Nina Mehta, Wall Street Confidential, 9/14/03.
	AO	Peter Hoadley, "Options Strategy Analysis Tools", http://www.hoadley.net/options/BS.htm
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	A[illegible]	Kevenides: "International Real Estate Investment Risky Analysis", Real Estate Issues, Chicago: Fall 2002. Vol 27, Iss. 3/4; pg. 61, 13 pages.
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	AG	Hugh Skipper, et al.: "Plans for the Development of a Monthly Index of Services", Office of National Statistics (UK), "Economic Trends", No. 551, 1999
	AT	NASD Notice to Members 00-43: Informational: Weighted Average and Special Pricing Formula Trades", Effective Date: July 17, 2000

EXAMINER	/Nga Nguyen/ (11/19/2008)	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /N.N./

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	BD	[illegible]0	02	00	[illegible]	7	3	9	05/30/02	Florance et al.	705	28	
	BB	20	0[illegible]	00	28	3	3	7	04/24/03	Florance et al.	705	80	
	BE	20	0[illegible]	0[illegible]	29	3	3	7	12/11/03	Florance et al.	705	[illegible]	
	BD	[illegible]0	00	0[illegible]	[illegible]8	7	7	9	07/01/04	Florance et al.	705	28	
	BE	20	00	00	30	3	3	9	02/12/04	Florance et al.	705	27	

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	CA	20	02	01	94	0	3	5	12/19/02	Weiss	705	36	
	CE	5	8	8	7	4	3	5	11/16/99	Weiss, et al.	705	36	
	CE	5	5	1	3	0	2	0	01/28/03	Weiss, et al.	705	36	
	CE	6	8	7	8	9	3	5	04/05/2005	Fleming, et al.	702	194	
	CE	20	05	02	16	3	3	0	09/29/2005	Partlow, et al.	705	35	

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	CE	Karl E. Case, Jr., et al., Index-Based Futures and Options Markets in Real Estate, Cowles Foundation Paper 1006 (1991)
	CG	Robert J. Shiller, Institutions for Managing Risks to Living Standards, Rbert J. Shiller, NBER Reporter (Spring 1998)
	CH	Karl E. Case, et al., Mortgage Default Risk and Real Estate Prices: the Use of Index-Based Futures and Options in Real Estate, Journal of Housing Research, Vol. 7, No. 2 (1996)
	CG	Office Action issued in co-pending application U.S. Patent Application Serial No. 10/689,833
	CJ	Park, Tae H. et al., "An Economic Analysis of Real Estate Swaps", *The Canadian Journal of Economics*, Vol. 29, Special Issue, Part 2 (April 1996), pp. S527-S533

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